

AUDIT COMMITTEE

Date and Time :- Tuesday, 26 November 2019 at 2.00 p.m.
Venue:- Town Hall, Moorgate Street, Rotherham.
Membership:- Councillors Cowles, Vjestica, Walsh (Vice-Chair), Wilson and Wyatt (Chair)

Independent Member – Mr. B. Coleman

The business which will be discussed are described on the agenda below and there are reports attached which give more details.

Rotherham Council advocates openness and transparency as part of its democratic processes. Anyone wishing to record (film or audio) the public parts of the meeting should inform the Chair or Governance Advisor of their intentions prior to the meeting.

AGENDA

- 1. To determine whether the following items should be considered under the categories suggested in accordance with Part 1 of Schedule 12A (as amended 2006) of the Local Government Act 1972**
- 2. To determine any item(s) which the Chairman is of the opinion should be considered later in the agenda as a matter of urgency**
- 3. Apologies for Absence**
- 4. Declarations of Interest**
- 5. Questions from Members of the Public or the Press**
- 6. Minutes of the previous meeting held on 26th September, 2019 (herewith) (Pages 1 - 6)**
- 7. Mid-Year Treasury Management and Prudential Indicators Monitoring Report - 2019/20 (Pages 7 - 25)**
- 8. Information Governance Annual Report (Pages 26 - 35)**
- 9. External Inspections, Reviews and Audits Update (Pages 36 - 43)**

10. **Code of Corporate Governance (Pages 44 - 74)**
11. **Anti-Fraud and Corruption Policy, Strategy and Self-assessment against CIPFA Code of Practice (Pages 75 - 107)**
12. **Risk Management Policy and Guide Refresh 2019 (Pages 108 - 137)**
13. **Audit Committee Forward Plan (Pages 138 - 147)**
14. **Items for Referral for Scrutiny**
15. **Exclusion of the Press and Public**
Resolved:- That, under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items of business on the grounds that they involve the likely disclosure of exempt information as defined in Paragraph 3 of Part 1 of Schedule 12(1) of such Act indicated, as now amended by the Local Government (Access to Information) (Variation) Order 2006 (information relating to business and financial affairs).
16. **Internal Audit Progress Report for the period 1st September to 31st October 2019 (Pages 148 - 177)**
17. **External Assessment of Internal Audit (Pages 178 - 183)**
18. **Risk Management Directorate - Assistant Chief Executive (Pages 184 - 192)**
19. **Regeneration and Environment Directorate Risk Register (Pages 193 - 205)**
20. **Date and time of next meeting**
Tuesday, 28th January, 2020 commencing at 2.00 p.m.



Chief Executive.

Membership 2018/19

Chair – Councillor Wyatt.

Vice-Chair – Councillor Walsh

Councillors Cowles, Wilson and Vjestica

Independent Member – Mr. B. Coleman